

## EPSRC Supergen Offshore Renewable Energy (ORE) Hub Flexible Fund – 3rd Call for Proposals – November 2020

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### 1. Summary of call details

Maximum Value per Proposal	£100,000 at 80% FEC*
Funding level available	80% of FEC
Total resource available for this 2 <sup>nd</sup> call	Up to £850,000 at 80% FEC**
Expression of Interest call opens	30 <sup>th</sup> November 2020
Closing date for Expressions of Interest	5pm (GMT) – 11 <sup>th</sup> January 2021
Call for Full Proposals opens	27 <sup>th</sup> January 2021
Call for Full Proposals closes	5pm (GMT) 24 <sup>th</sup> February 2021
Peer reviews of full proposals	25 <sup>th</sup> February 2021 to 24 <sup>th</sup> March 2021
Meeting of Review Panel	w/c 5 <sup>th</sup> April 2021
Notification of successful and unsuccessful Proposals	w/c 12 <sup>th</sup> April 2021
Period for exchange of Flexible Fund grant conditions	w/c 12 <sup>th</sup> April 2021 to w/c 24 <sup>th</sup> May 2021
Anticipated start date of successful proposals - post award	c. June 2021
*Please see section 5 - Scope of Flexible Funding Call for further details	
**If all monies are not allocated in the round, the Supergen ORE Hub Board retains the right to re-allocate funds	

## 2. Context

The UK is at the forefront of the development, adoption and export of ORE technologies. To sustain this advantage, the UK must spearhead research and innovation in ORE, which will accelerate its adoption worldwide and widen the applicability of this technology. There are various organisations involved in coordinating this process, extending across the industry-academia spectrum, and the Supergen ORE Hub will integrate with these activities to guide and deliver fundamental and applied research to advance the ORE sector.

## 3. About the Supergen ORE Hub

The Supergen ORE Hub provides research leadership to connect academia, industry, policy and public stakeholders, inspire innovation and maximise societal value in offshore wind, wave and tidal energy. The Hub vision is to bring together and stimulate synergistic adventurous research that supports and accelerates the development of offshore wind, wave and tidal technologies for society’s benefit.

The Hub is central to the UK ORE community, bringing together shared skills and expertise, allowing transfer of fundamental knowledge, shared learning and use of resources for inter-disciplinary research, taking a whole systems approach. Differing maturities of wave, tidal and offshore wind allow rapid advances towards deployment and societal benefit through timely sharing of expertise, strategies and best practice between the three sectors. More detail regarding the Supergen ORE Hub can be found at: [www.supergen-ore.net](http://www.supergen-ore.net)

## 4. About this Call

This is the third call for proposals from the Supergen ORE Hub Flexible Fund. We are seeking research proposals from Universities or other institutions eligible to hold UKRI awards to facilitate a programme of co-ordinated UK led ORE research projects aligned with, and in partnership with the Hub.

Many industry roadmaps have been developed for ORE. These roadmaps are generally on a sectoral basis (for offshore wind and marine, with wave and tidal often considered together). To develop the area strategy for the Supergen ORE Hub and its associated programme of Core and Flexible Fund supported research, these roadmaps have been synthesised through extensive consultation exercises with industry and other stakeholders, including the Hub Advisory Board and Research Alignment Group. These exercises have resulted in the identification of following key Research Challenge themes:

Key Research Challenge Themes	Description
<b>A</b>	Resource and environment characterisation
<b>B</b>	Fluid structure seabed interaction
<b>C</b>	Materials and manufacturing
<b>D</b>	Sensing, control and electro-mechanics
<b>E</b>	Survivability, reliability and design
<b>F</b>	Operations, management, maintenance and safety
<b>G</b>	Environmental and ecosystem aspects
<b>H</b>	Marine socio-economics and governance

This Flexible Fund call will seed areas that complement existing research, fill gaps or add cross cutting activities to explore the transfer of research findings between sectors within ORE. **Proposals are invited across themes A to F that fit within the EPSRC remit, and where appropriate are encouraged to include elements of environment and socio-economics research themes G and H.**

**A detailed list of research challenge titles under each of these themes for this call is provided in the Appendix.** More detail regarding the Hub 'Core Research Work Packages' can be found [HERE](#).

## 5. Scope of third Flexible Funding call

Applicants are asked to make submissions for work **up to a maximum of £100,000 of EPSRC funding at 80% FEC that MUST be delivered within 1 year, i.e. successful proposals can claim up to £100,000 from the Flexible Fund, which is 80% of the Full Economic Cost (FEC) of the proposal, i.e. £125,000.**

Project awardees can invoice 80% of the full economic costs in three equal instalments during the period of the Flexible Fund Project, with the final payment being made on delivery of the outputs as detailed in section 10 of this call document. Costings for the proposals should be in accordance with standard UKRI rules<sup>1</sup>. Eligible costs are as defined in the standard UKRI terms and conditions of grant awards and flexible funding can be used to cover the same cost categories.

Consortium bids and industry engagement and collaboration in proposals is encouraged. The funding is primarily for researcher salary costs but funding for equipment may be allowed if a fully justified case is made that demonstrates that equipment expenditure is a fundamental requirement to the research proposed. If purchased equipment is expected to outlast the project an explanation of how this will be of longer-term value to the ORE research community is required.

## 6. Additional partner funding

In this call for Flexible Funding proposals we have additional match funding available from the ORE Catapult [Floating Offshore Wind Centre of Excellence \(FoW CoE\)](#). We are asking applicants to the Flexible Fund to select **only one option per project proposal** from the list below, to indicate which form of proposal they wish to put forward to the Supergen ORE Hub. You will be able to select one of these two options at the Expression of Interest (EOI) stage as set out in section 8 of this call document:

- **Option 1 - EPSRC standard academic scheme (as per the scope in section 5 of this call document)**  
Up to £100k funded by EPSRC (at 80% FEC for HEI) with industry involvement and cash/in kind contributions expected.

- **Option 2 - EPSRC/FoW CoE scheme**  
For projects in FoW CoE priority areas. Proposals within this scheme can access up to £100k funded by EPSRC (at 80% FEC for HEI) and be supplemented by up to £50k of match funding by the FoW CoE for industrial partners in proposal consortiums. See full details in the following section. **Funds requested from the FoW CoE in proposals are required to be matched on a one to one basis by the Industry Partner/s.** The maximum request for any one proposal is £50,000 however lesser values are acceptable. Further guidance regarding FoW CoE match funding can be found [HERE](#)

<sup>1</sup> <https://epsrc.ukri.org/funding/applicationprocess/fundingguide/>

## EPSRC/FoW CoE scheme – Additional Details

Successful proposals within this scheme will directly address research within the four workstreams of the FoW CoE core work programme and must clearly demonstrate a strong requirement for the additional funding requested. The four key workstream areas the FoW CoE are looking to address are:

- Technical Development
- Supply Chain & Operations
- Development and Consent
- Delivering Net Zero

Further details regarding each of these areas can be found [HERE](#).

Applicants to the Flexible Fund will be able to indicate their requirement to access the EPSRC/FoW CoE scheme fund option at the Expression of Interest (EOI) stage of the application process (as in section 8 of this call document). A clear outline of the proposed use of FoW CoE match funding will be required at the EOI stage and a detailed plan at the full proposal stage. Detailed plans should clearly indicate which deliverables are supported by FoW CoE funding. Additional reporting and monitoring conditions will be applied to the FoW CoE element of the research as arrangements will be treated separately from EPSRC funds.

Assessment of Flexible Fund proposals in the EPSRC/FoW CoE scheme will follow the application process as set out in section 8 of this call document and will be reviewed and assessed as per the criteria set out in section 9 of this call document.

## 7. Eligibility

For this call, full proposals are invited from eligible UK researchers, i.e. applicants based in UK Higher Education Institutions (HEIs), Research Council Institutes and Centres, and Independent Research Organisations (IROs) approved by UKRI. Potential applicants should contact the Supergen ORE Hub in advance of the submission deadline if they have any queries concerning their eligibility. **Sub-contracting is not permitted – please see our FAQ section of the Hub website [HERE](#) for our definition of sub-contracting.** Individuals may submit no more than one Proposal as Principal Investigator plus one as Co-investigator, or two as Co-Investigator, to this call. Principal and Co-Investigators within the Supergen ORE Hub may not apply.

## 8. Application process

This Flexible Funding Call has three stages:

### Stage 1 – Expressions of Interest

- 1) The first stage is a call for Expressions of Interest (deadline for submissions 11<sup>th</sup> January 2021 @ 1700 hrs GMT). **Expressions of Interest (EOI) are to be submitted electronically via completion of an online EOI form which can be accessed [HERE](#).**
- 2) EOIs will be anonymised and assessed by the Hub Co-directors and members of the Industrial Advisory Board and FoW CoE, based on criteria 1 to 4 as set out in section 9 of this document. EOIs received will be shortlisted to proceed to the second stage, which will be an invitation to submit a full proposal. There will be no feedback provided to applicants who do not proceed past the EOI stage.

### Stage 2 – Full proposals

Full proposals received (deadline for submissions 24<sup>th</sup> February 2021 @ 1700 hrs GMT) will go through a double-blind peer review process and will be assessed based on criteria 1 to 4, as set out in section 9 of this document. Second stage proposals should consist of the following evidence\*:

- 1) Track record and additionality - **2 pages maximum.**
- 2) Case for support including – a clear identification of the research challenge/s the proposal is looking to address, novelty and timeliness, aims and objectives, programme of work including methodology, management including integration into Supergen ORE Hub, impact and dissemination, budget and schedule\*\* - **4 pages maximum**
- 3) Project Partner letters of support, including a statement of the financial value of support offered.

Second stage proposals should be emailed to [SupergenORE.Flexi@plymouth.ac.uk](mailto:SupergenORE.Flexi@plymouth.ac.uk), with the subject line: **“Supergen ORE Hub Flexible Funding Call Round 3 – Proposal – (plus Principal Investigator surname)”**.

**The Track Record, Case for Support and project partner letters of support should be submitted as individual documents in PDF format**

\*Please note: Full proposals are required to be **fully anonymised** when submitted by applicants and page limits must be strictly adhered to, or the proposal will not be considered.

\*\*Applicants applying for Flexible Fund option 2, i.e. EPSRC/FoW CoE scheme, must clearly indicate and delineate which deliverables and budget within the proposed research are supported by the additional contributor.

### Stage 3 – Review Panel

Peer reviewed proposals will then be submitted to a review panel, drawing on the Hub Co-Directors, Industry Advisory Board, EPSRC and FoW CoE. Peer reviewed proposals at this stage will be shortlisted by the panel against criteria 1 to 5 and ranked to select the final research proposals that will receive the Flexible Fund Awards. Final award decisions will be made using the resulting panel ranked order by the Hub Director, Co-Directors and EPSRC.

## 9. Review criteria and assessment process

Proposals will be assessed against the following criteria:

- 1) Quality of the research to include novelty, ambition and appropriateness of the proposed methodology
- 2) National importance of the research, including the ability of the research to promote, support and advance the identified research priorities within ORE
- 3) Complementary or supplementary fit to the Supergen ORE Hub portfolio of [core research](#)
- 4) Identified opportunity for impact including the extent of industry engagement and potential for policy engagement.
- 5) The capability of the project team to deliver the research proposed and management of the resources (balance of risk).

## 10. Funded projects required outputs

- 1) A final, publicly disclosable, report and slide pack (5 to 10 slides) that summarises key impacts, findings and follow on work from the research, which will be used to verify completion and inform future activities.
- 2) A project summary which could be published on the Supergen ORE Hub website on announcement of the Flexible Funding award.
- 3) A summary of funding opportunities that the investigators expect the award to enable.

Please note that the research undertaken as part of the Supergen Flexible Funding call is also subject to:

- 1) Discussion and feedback from the Industrial Advisory Board at their 6-monthly meetings.
- 2) Successful applicants attending the Supergen ORE Hub Annual General Assemblies (or other dissemination events as required) to present the findings, impact and follow on work from their research.
- 3) The PI of flexible fund research projects reporting on the research outcomes to the Supergen ORE Hub to input into ResearchFish and attending intermediate project meetings (in person or remotely) to report on project progress as required.
- 4) Any additional reporting and monitoring requirements as determined by additional contributors, i.e. FoW CoE.

Use of the flexible fund, subsequent outcomes and activities to support Early Career Researchers and/or Equality, Diversity and Inclusion will be monitored via the EPSRC annual reporting requirement and periodic reporting to the Hub Industrial Advisory Board.

## 11. Terms and Conditions

- Terms and conditions of standard UKRI FEC grant awards apply<sup>2</sup>.
- In addition, all successful projects must engage with the Supergen ORE Hub and should describe in their application how they plan to do this.

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<sup>2</sup> <https://www.ukri.org/funding/information-for-award-holders/grant-terms-and-conditions/>

- All project outputs and engagement should be branded as Supergen ORE Hub. Brand materials and guidance will be provided to successful applicants.
- Where proposals are accessing additional partner schemes, project outputs and engagement should also be branded with the relevant partner logo, i.e. ORE Catapult FoW CoE, as relevant. Brand materials and guidance will be provided to successful applicants.

## 12. Additional information

### Equality, Diversity and Inclusion

Supergen ORE Hub supports the value of the diversity of its staff and stakeholders, enabling all to realise their full potential by valuing the contribution of everyone and recognising and harnessing the benefits that differences can bring. Supergen ORE hub is committed to eliminating unlawful discrimination and promoting equality of opportunity and good relations across and between the defined equalities groups in all of their relevant functions. Accordingly, no eligible funding applicant will receive less favourable treatment on the grounds of gender, marital status, sexual orientation, gender re-assignment, race, colour, nationality, ethnicity or national origins, religion or similar philosophical belief, spent criminal conviction, age or disability. Equally, all proposals will be assessed on equal terms, regardless of the sex, age and/or ethnicity of the applicant. Proposals will therefore be assessed and graded on their merits, in accordance with the criteria and the aims and objectives set for the call. As long as you are employed by an eligible UK institution and satisfy EPSRC's current regulations on investigator eligibility you are eligible to submit a proposal, regardless of your nationality or ethnicity.

### Management of Flexible Fund

Each call for proposals is developed by the Supergen ORE Hub Management board, guided by the advice of the Industrial Advisory Board and Research Alignment Group. The Industrial Advisory Board and Research Alignment Group comprises of independent chairs and industrial and academic experts. The membership represents a range of disciplines and sectors relevant to the Supergen ORE Hub 'whole systems approach' research.

Details about the Supergen ORE Hub, industrial Advisory Board and Research Alignment Group membership can be found at: <https://supergen-ore.net/about/people>

## Point of Contact for queries

Flexible Funding Enquiries – Supergen ORE Hub

Email: [SupergenORE.Flexi@plymouth.ac.uk](mailto:SupergenORE.Flexi@plymouth.ac.uk)

Subject line: “Supergen ORE Hub Flexible Funding Call Round 3 – Queries”

Please note that all queries regarding the Supergen ORE Hub Flexible Fund must be directed via email in order to maintain a clear audit trail for reporting purposes where required and will be answered publicly via a FAQ area on the Supergen ORE Hub website.

**Please check the FAQ section of the Supergen ORE Hub website [HERE](#) in advance of submitting queries, as your question may already have been answered**

For specific queries regarding the use of additional funding from the FoW CoE, all questions received at [SupergenORE.Flexi@plymouth.ac.uk](mailto:SupergenORE.Flexi@plymouth.ac.uk) will be forwarded to the relevant contact within FoW CoE. All queries will also be answered publicly via the FAQ area on the Supergen ORE Hub website.



### 13. Appendix

	<b>Theme and Research Challenge Description</b>
<b>Research Challenge</b>	<b>A - Resource and Environment Characterisation</b>
<b>A1</b>	Better measurement techniques for forecasting and resource characterisation
<b>A2</b>	Improved modelling tools for resource assessment.
<b>A3</b>	Resource and environmental characterisation in physical modelling facilities.
<b>A4</b>	Long-term sediment transport measurement and modelling
	<b>B - Fluid-structure-seabed interaction</b>
<b>B1</b>	Realistic fluid-structure-seabed design tools that work together, not in isolation
<b>B2</b>	Novel device concepts – rethinking the mechanism of energy extraction
<b>B3</b>	Moorings, anchors and foundations
<b>B4</b>	Multi-purpose hybrid systems for ORE and ocean resources.
<b>B5</b>	Design of reliable cabling systems.
	<b>C - Materials and Manufacturing</b>
<b>C1</b>	Integrity in the marine environment (corrosion, fatigue, coatings etc.)
<b>C2</b>	Serial (volume) manufacturing of complex structural systems
<b>C3</b>	Design for safe and cost-effective installation methods
<b>C4</b>	New materials and coatings
<b>C5</b>	Recycling/reuse of composites
	<b>D - Sensing, Control and Electromechanics</b>
<b>D1</b>	Control of ORE farm
<b>D2</b>	Smart sensor system use
<b>D3</b>	Drive train design
<b>D4</b>	Power Electronic Conversion

	<b>E - Survivability, Reliability and Design</b>
<b>E1</b>	Higher and more consistent reliability through risk-based design
<b>E2</b>	Extending limits to operation or performance by mitigating extreme actions
<b>E3</b>	Innovative subsystems to provide higher and more consistent reliability and / or performance
<b>E4</b>	Sustainable whole-life design method
<b>E5</b>	Design tools for arrays
<b>E6</b>	Whole systems approaches to operate large scale ORE
	<b>F - Operations, Management, Maintenance and Safety</b>
<b>F1</b>	Analysis of remote sensing and condition monitoring data
<b>F2</b>	Use of autonomous systems for inspection
<b>F3</b>	Data and digital cyber security
<b>F4</b>	Increased use of automation to reduce risk in installation and operation (O&M)

	<b>G - Environmental and Ecosystem Aspects</b>
<b>G1</b>	Fit for purpose approaches to environmental monitoring
<b>G2</b>	Development of population level environmental impact models
<b>G3</b>	Ecosystem Modelling
	<b>H - Marine Socio-Economics and Governance</b>
<b>H1</b>	Communication: Ocean literacy and public perception of ORE
<b>H2</b>	Interaction with other marine users
<b>H3</b>	Development of market mechanisms for ORE
<b>H4</b>	Reducing uncertainty of both technology and social costs of ORE